

**STATE OF MINNESOTA  
BOARD OF ARCHITECTURE, ENGINEERING,  
LAND SURVEYING, LANDSCAPE ARCHITECTURE, GEOSCIENCE  
AND INTERIOR DESIGN**

In the matter of  
Timothy J. Griffin, Architect  
License Number 26070

**STIPULATION AND ORDER**

Board File No. 2012-0036

TO: Timothy J. Griffin  
240 Spring Street #227  
Saint Paul, Minnesota 55102

The Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience and Interior Design ("Board") is authorized pursuant to Minnesota Statutes section 214.10 (2010) and Minnesota Statutes section 326.111(2010) to review complaints against architects, professional engineers, land surveyors, landscape architects, geoscientists, and certified interior designers, and to take disciplinary action whenever appropriate.

The Board received information concerning Timothy J. Griffin ("Respondent"). The Board's Complaint Committee ("Committee") reviewed the information. The parties have agreed that the matter may now be resolved by this Stipulation and Order.

**STIPULATION**

IT IS HEREBY AGREED by and between Respondent and the Committee as

follows:

1. Jurisdiction. The Board initially issued an Architect license to Respondent on July 27, 1998. Respondent currently is licensed as an Architect by the Board. Respondent is subject to the jurisdiction of the Board with respect to the matters referred to in this Stipulation.

2. Facts. This Stipulation is based upon the following facts:

a. Respondent was first licensed as an Architect in the State of Minnesota on July 27, 1998.

b. On July 1, 2002, Respondent's Minnesota Architect license expired.

c. Respondent reinstated his Minnesota Architect's license on January 5, 2012. Respondent's Minnesota Architect's license is current with an expiration date of June 30, 2012.

d. Respondent's Minnesota Architect license lapsed from July 1, 2002 until January 5, 2012, approximately 9 ½ years.

e. During the lapse of the Respondent's Minnesota Architect license, in connection with his name, Respondent used the title of "AIA" on the website of his employer, www.riverfrontcorporation.com, and on his business card for Saint Paul Riverfront Corporation.

f. Respondent requested a conference with the Complaint Committee and met with the Committee, accompanied by his attorney, Daniel Tyson, Esq., on April 25, 2012. During the conference, Respondent admitted to his use of the title "AIA" in connection with his name on the website of his employer,

www.riverfrontcorporation.com, and on his business card for Saint Paul Riverfront Corporation during the lapse of his Minnesota Architect license.

g. According to the AIA website, to be eligible to be an Architect Member of The American Institute of Architects (AIA), individuals must be licensed as an architect by a United States licensing authority.

h. Respondent stated at the conference with the Committee on April 25, 2012, that he was not licensed as an architect by any other state between December 31, 2002 and January 5, 2012 and that he was not aware that he needed to be licensed as an architect in order to use the title of AIA. He advised the Committee that he was a licensed architect in the State of Illinois when he became a member of the AIA. He advised the Committee that he continued to pay AIA dues and participate in AIA functions from January 2, 2003 to the reinstatement of his Minnesota license. He also advised that his Illinois license lapsed as of December 31, 2002.

3. Violations. Respondent admits that the facts specified above constitute violations of Minnesota Statutes section 326.02, subdivisions 1 and 2 (2010), and are sufficient grounds for the action specified below. Specifically, it is alleged that the Respondent held himself out to the public as an architect by use or advertised a title tending to convey or give the impression that he was licensed as an architect when he used the title of AIA (American Institute of Architects member) during the time the Respondent's Minnesota architecture license had lapsed from July 1, 2002 until January 5, 2012.

4. Enforcement Action. Respondent and the Committee agree that the Board should issue an Order in accordance with the following terms:

- a. Reprimand. Respondent is reprimanded for the foregoing conduct.
- b. Civil Penalty. Respondent shall pay to the Board a civil penalty of Two Thousand Five Hundred Dollars (\$2,500.00). Respondent shall submit a civil penalty of Two Thousand Five Hundred Dollars (\$2,500.00) by cashier's check or money order to the board within sixty (60) days of the Board's approval of this Stipulation and Order.

5. Additional Discipline for Violations of Order. If Respondent violates this Stipulation and Order, the Board may impose additional discipline pursuant to the following procedure:

- a. The Committee shall schedule a hearing before the Board. At least thirty days prior to the hearing, the Committee shall mail Respondent a notice of the violation alleged by the Committee and of the time and place of the hearing. Within fourteen days after the notice is mailed, Respondent shall submit a response to the allegations. If Respondent does not submit a timely response to the Board, the allegations may be deemed admitted.

- b. At the hearing before the Board, the Complaint Committee and Respondent may submit affidavits made on personal knowledge and argument based on the record in support of their positions. The Complaint Committee may submit affidavits responding to any affidavits submitted by Respondent. The evidentiary record before the Board shall be limited to such affidavits and this Stipulation and

Order. Respondent waives a hearing before an administrative law judge and waives discovery, cross-examination of adverse witnesses, and other procedures governing administrative hearings or civil trials.

c. At the hearing, the Board will determine whether to impose additional disciplinary action, including additional conditions or limitations on Respondent's practice or suspension or revocation of Respondent's license.

6. Waiver of Respondent's Rights. For the purpose of this Stipulation, Respondent waives all procedures and proceedings before the Board to which Respondent may be entitled under the Minnesota and United States constitutions, statutes, or the rules of the Board, including the right to dispute the allegations against Respondent, to dispute the appropriateness of discipline in a contested case proceeding pursuant to Minnesota Statutes Chapter 14 (2010), and to dispute the civil penalty imposed by this Agreement. Respondent agrees that upon the application of the Committee without notice to or an appearance by Respondent, the Board may issue an Order containing the enforcement action specified in paragraph 4 herein. Respondent waives the right to any judicial review of the Order by appeal, writ of certiorari, or otherwise.

7. Collection. In accordance with Minnesota Statutes section 16D.17 (2010), in the event this order becomes final and Respondent does not comply with the condition in paragraph 4(b) above, Respondent agrees that the Board may file and enforce the unpaid portion of the civil penalty as a judgment without further notice or additional proceedings.

8. Board Rejection of Stipulation and Order. In the event the Board in its discretion does not approve this Stipulation or a lesser remedy than specified herein, this Stipulation shall be null and void and shall not be used for any purpose by either party hereto. If this Stipulation is not approved and a contested case proceeding is initiated pursuant to Minnesota Statutes Chapter 14 (2010), Respondent agrees not to object to the Board's initiation of the proceedings and hearing the case on the basis that the Board has become disqualified due to its review and consideration of this Stipulation and the record.

9. Unrelated Violations. This settlement shall not in any way or manner limit or affect the authority of the Board to proceed against Respondent by initiating a contested case hearing or by other appropriate means on the basis of any act, conduct, or admission of Respondent justifying disciplinary action which occurred before or after the date of this Stipulation and which is not directly related to the specific facts and circumstances set forth herein.

10. Record. The Stipulation, related investigative reports and other documents shall constitute the entire record of the proceedings herein upon which the Order is based. The investigative reports, other documents, or summaries thereof may be filed with the Board with this Stipulation.

11. Data Classification. Under the Minnesota Government Data Practices Act, this Stipulation is classified as public data upon its issuance by the Board. Minnesota Statutes Chapter 13.41, subdivision 5 (2010). All documents in the record shall maintain the data classification to which they are entitled under the Minnesota Government Data

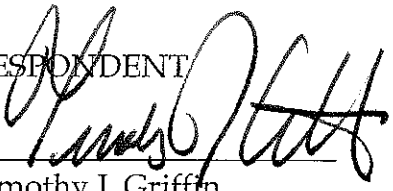
Practices Act, Minnesota Statutes Chapter 13 (2010). They shall not, to the extent they are not already public documents, become public merely because they are referenced herein. A summary of this Order will appear in the Board's newsletter. A summary will also be sent to the national discipline data bank pertaining to the practice of architecture.

12. Entire Agreement. Respondent has read, understood, and agreed to this Stipulation and is freely and voluntarily signing it. The Stipulation contains the entire agreement between the parties hereto relating to the allegations referenced herein. Respondent is not relying on any other agreement or representations of any kind, verbal or otherwise.

13. Counsel. Respondent is aware that he may choose to be represented by legal counsel in this matter. Respondent is represented by Daniel Tyson, Esq.

14. Service. If approved by the Board, a copy of this Stipulation and Order shall be served personally or by first class mail on Respondent. The Order shall be effective and deemed issued when it is signed by the Chair of the Board.

RESPONDENT

  
Timothy J. Griffin

Dated: 29 MAY, 2012

~~Board~~  
~~COMPLAINT COMMITTEE~~

By: 

Bruce Johnson, PG  
Committee Chair *vice Chair*

Dated: 6-7, 2012

## ORDER

Upon consideration of the foregoing Stipulation and based upon all the files, records and proceedings herein, all terms of the Stipulation are approved and hereby issued as an Order of this Board on this the 7 day of June, 2012.

MINNESOTA BOARD OF  
ARCHITECTURE, ENGINEERING,  
LAND SURVEYING, LANDSCAPE  
ARCHITECTURE, GEOSCIENCE AND  
INTERIOR DESIGN

By: 

Lisa Hanni, LS  
Board Chair



AFFIDAVIT OF SERVICE BY MAIL

RE: In the matter of Timothy J. Griffin,  
ARCHITECT  
License Number 26070

STATE OF MINNESOTA )  
 ) ss.  
COUNTY OF RAMSEY )

Lynette DuFresne, being first duly sworn, deposes and says:

That at the City of St. Paul, County of Ramsey and State of Minnesota, on this the 11<sup>th</sup> day of June, 2012, she served the attached **Stipulation and Order**, by depositing in the United States mail at said city and state, a true and correct copy thereof, properly enveloped, with first class and certified postage prepaid, and addressed to:

Mr. Daniel R. Tyson, PA  
100 South Fifth Street Suite 1900  
Minneapolis, Minnesota 55402

**CERTIFIED MAIL**  
**Return Receipt Requested**  
**7010 0780 0001 5886 3651**

Lynette DuFresne  
Lynette DuFresne

Subscribed and sworn to before me on  
this the 11<sup>th</sup> day of June, 2012.

Victoria E. Oehrlein  
(Notary Public)

